



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3624  
Tfw

In re application of: **Dutta et al.**

§ Group Art Unit: **3624**

Serial No.: **09/833,347**

§ Examiner: **Kazimi, Hani M.**

Filed: **April 12, 2001**

§ Attorney Docket No.: **AUS920010214US1**

§

For: **Method and Apparatus for Incorporating Scanned Checks into Financial Applications**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

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Jan 26, 2006

By:

Louise Fay  
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35525

PATENT TRADEMARK OFFICE  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449;
- References AA-AC; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 09-0447. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 09-0447.

Respectfully submitted,

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

**Dutta et al.**

Serial No: **09/833,347**

Filed: **April 12, 2001**

Title: **Method and Apparatus for Incorporating Scanned Checks into Financial Applications**

Group Art Unit: **3624**

Intellectual Property  
Law Department  
International Business  
Machines Corporation  
11400 Burnet Road  
Austin, Texas 78758

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. 1.97(g) the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (b) exists.

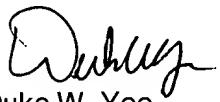
The patents and/or publications submitted herewith are set forth on the attached form, PTO-1449.

The undersigned certifies that this Supplemental IDS is being submitted:

- a) within three months of the filing of a national application
- b) within three months of entering national stage
- c) before mailing of a first Office Action on the merits; or
- d) before the mailing of a first Office Action after filing of a request for Continued Examination.

In the event a fee is required in connection with the enclosed Supplemental Information Disclosure Statement, the Commissioner of Patents and Trademarks is authorized to charge Deposit Account No. 09-0447 for the necessary amount.

Respectfully submitted,

  
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Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>		<b>Complete If Known</b>	
		Application Number	09/833,347
		Filing Date	April 12, 2001
		First Named Inventor	Dutta et al.
		Examiner Name	Kazimi, Hani M.
		Art Unit	3624
		Attorney Docket No.	AUS920010214US1

Examiner Initials	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	6,845,448 B1	Jan. 18, 2005	Chaganti et al.	
AB	6,654,797 B1	Nov. 25, 2003	Kamper	

#### **FOREIGN PATENT DOCUMENTS**

Examiner Initial	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

#### **NON PATENT LITERATURE**

Examiner Initial	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
AC	Kravitz, "SDML – Signed Document Markup Language", W3C Note, June 1998, pp. 1-36. <a href="http://www.w3.org/TR/1998/NOTE-SDML-19980619/">http://www.w3.org/TR/1998/NOTE-SDML-19980619/</a> , retrieved 12/ 21/05.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.